



300 - 7-26-94K  
UNITED STATES DEPARTMENT OF COMMERCE  
Patent and Trademark Office  
Address: COMMISSIONER OF PATENTS AND TRADEMARKS  
Washington, D.C. 20231

APPLICATION NUMBER	FILING DATE	FIRST NAMED APPLICANT	ATTY. DOCKET NO./TITLE
08/674,726	07/02/96	MOSKOWITZ	S 2377/11

0212/0725  
FRANK PIETRANTONIO  
KENYON & KENYON  
1025 CONNECTICUT AVENUE NW  
SUITE 600  
WASHINGTON DC 20036

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DATE MAILED: 07/25/96

### NOTICE TO FILE MISSING PARTS OF APPLICATION FILING DATE GRANTED

An Application Number and Filing Date have been assigned to this application. However, the items indicated below are missing. The required items and fees identified below must be timely submitted **ALONG WITH THE PAYMENT OF A SURCHARGE** for items 1 and 3-6 only of \$ 130 for large entities or \$ 65 for small entities who have filed a verified statement claiming such status. The surcharge is set forth in 37 CFR 1.16(e).

If all required items on this form are filed within the period set below, the total amount owed by applicant as a ☒ large entity, ☐ small entity (verified statement filed), is \$ 139.

Applicant is given **ONE MONTH FROM THE DATE OF THIS LETTER, OR TWO MONTHS FROM THE FILING DATE** of this application, **WHICHEVER IS LATER**, within which to file all required items and pay any fees required above to avoid abandonment. Extensions of time may be obtained by filing a petition accompanied by the extension fee under the provisions of 37 CFR 1.136(a).

- ☒ The statutory basic filing fee is: ☐ missing ☒ insufficient. Applicant as a ☒ large entity ☐ small entity, must submit \$ 141 to complete the basic filing fee.
  - ☒ Additional claim fees of \$ 468 as a ☒ large entity, ☐ small entity, including any required multiple dependent claim fee, are required. Applicant must submit the additional claim fees or cancel the additional claims for which fees are due.
  - ☒ The oath or declaration:  
☒ is missing.  
☐ does not cover items omitted at time of execution.
- An oath or declaration in compliance with 37 CFR 1.63, identifying the application by the above Application Number and Filing Date is required.
- ☐ The oath or declaration does not identify the application to which it applies. An oath or declaration in compliance with 37 CFR 1.63, identifying the application by the above Application Number and Filing Date, is required.
  - ☐ The signature(s) to the oath or declaration is/are: ☐ missing; ☐ by a person other than the inventor or a person qualified under 37 CFR 1.42, 1.43, or 1.47. A properly signed oath or declaration in compliance with 37 CFR 1.63, identifying the application by the above Application Number and Filing Date, is required.
  - ☐ The signature of the following joint inventor(s) is missing from the oath or declaration:  
\_\_\_\_\_ An oath or declaration listing the names of all inventors and signed by the omitted inventor(s), identifying this application by the above Application Number and Filing Date, is required.
  - ☐ The application was filed in a language other than English. Applicant must file a verified English translation of the application and a fee of \$ \_\_\_\_\_ under 37 CFR 1.17(k), unless this fee has already been paid.
  - ☐ A \$ \_\_\_\_\_ processing fee is required since your check was returned without payment. (37 CFR 1.21(m)).
  - ☒ Your filing receipt was mailed in error because your check was returned without payment.
  - ☐ The application does not comply with the Sequence Rules. See attached Notice to Comply with Sequence Rules 37 CFR 1.821-1.825.
  - ☐ Other.

Direct the response and any questions about this notice to, Attention: Application Processing Division, Special Processing and Correspondence Branch (703) 308-1202.

**A copy of this notice MUST be returned with the response.**



PATENT  
DOCKET NO.: 2377/11

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

APPLICANTS : MOSKOWITZ, S. et al.  
SERIAL NO. : 08/674,726  
FILED : July 2, 1996  
FOR : EXCHANGE MECHANISMS FOR DIGITAL  
INFORMATION PACKAGES WITH BANDWIDTH  
SECURITIZATION, MULTICHANNEL DIGITAL  
WATERMARKS, AND KEY MANAGEMENT

ASSISTANT COMMISSIONER FOR PATENTS  
Washington, DC 20231

RESPONSE TO NOTICE TO FILE MISSING PARTS OF APPLICATION  
FILING DATE GRANTED

S I R:

Applicants respectfully submit herewith a copy of the signed declaration as required by item number 3 in the Notice to File Missing Parts of Application, Filing Date Granted mailed by the U.S. Patent and Trademark Office on July 25, 1996 (copy enclosed). This response is being filed within the two-month period following the July 2, 1996 filing date of this application.

The U.S. Patent and Trademark Office is authorized to charge the surcharge fee of \$65.00 for small entities set forth in 37 C.F.R. § 1.16(e) to Kenyon & Kenyon Deposit Account No. 11-0600. The Applicants note that a verified statement claiming small entity status has been submitted herewith.

The original filing papers in this application requested that the filing fee of \$1,218.00 for large entities be charged to Kenyon & Kenyon Deposit Account No.

11-0600. However, the Notice to File Missing Parts of Application, Filing Date Granted indicates that Applicant still owes \$739.00 as a large entity, that the statutory basic filing fee is insufficient as a large entity and that \$141.00 must be submitted to complete the basic filing fee. Further, the Notice to File Missing Parts, Filing Date Granted indicates that additional claim fees of \$468.00 as a large entity are required. Therefore, it appears that only the amount of \$609.00 was charged to Deposit Account No. 11-0600 with respect to this application. If this is the case, the Applicant respectfully submits that \$609.00 is the only filing fee due in this application in view of the small entity status request submitted herewith. However, a request for a rebate of half the filing fee is also submitted herewith in case the entire \$1,218.00 was charged.


The Office is hereby authorized to charge any additional fees under 37 C.F.R. § 1.16 or § 1.17 or to credit any overpayment to Deposit Account No. 11-0600. A duplicate copy of this sheet is enclosed.

Respectfully submitted,

KENYON & KENYON

Date: August 30, 1996

By:

  
Robert D. Anderson  
Reg. No. 33,826

KENYON & KENYON  
1025 Connecticut Avenue, N.W.  
Washington, D.C. 20036  
(202) 429-1776

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